## Pt. 97, Subpt. II, App. A

CAIR  $NO_X$  opt-in unit in an amount equaling the heat input under paragraph (c)(1)(i) of this section, multiplied by the  $NO_X$  emission rate under paragraph (c)(1)(ii) of this section, divided by 2,000 lb/ton, and rounded to the nearest whole allowance as appropriate.

- (2) For each control period in 2015 and thereafter for which the CAIR  $NO_X$  opt-in unit is to be allocated CAIR  $NO_X$  allowances.
- (i) The heat input (in mmBtu) used for calculating the CAIR  $NO_X$  allowance allocations will be determined as described in paragraph (b)(1) of this section.
- (ii) The  $NO_X$  emission rate (in lb/mmBtu) used for calculating the CAIR  $NO_X$  allowance allocation will be the lesser of:
  - (A) 0.15 lb/mmBtu;
- (B) The CAIR  $NO_X$  opt-in unit's baseline  $NO_X$  emissions rate (in lb/mmBtu) determined under §97.184(d); or
- (C) The most stringent State or Federal  $NO_X$  emissions limitation applicable to the CAIR  $NO_X$  opt-in unit at any time during the control period for which CAIR  $NO_X$  allowances are to be allocated.
- (iii) The permitting authority will allocate CAIR  $NO_X$  allowances to the CAIR  $NO_X$  opt-in unit in an amount equaling the heat input under paragraph (c)(2)(i) of this section, multiplied by the  $NO_X$  emission rate under paragraph (c)(2)(ii) of this section, divided by 2,000 lb/ton, and rounded to the nearest whole allowance as appropriate.
- (d) Recordation. If provided in a State implementation plan revision submitted in accordance with §51.123(p)(3)(i), (ii), or (iii) of this chapter and approved by the Administrator:
- (1) The Administrator will record, in the compliance account of the source that includes the CAIR  $NO_X$  opt-in unit, the CAIR  $NO_X$  allowances allocated by the permitting authority to the CAIR  $NO_X$  opt-in unit under paragraph (a)(1) of this section.
- (2) By December 1 of the control period in which a CAIR  $NO_X$  opt-in unit enters the CAIR  $NO_X$  Annual Trading Program under §97.184(g) and December 1 of each year thereafter, the Administrator will record, in the compliance

account of the source that includes the CAIR  $NO_X$  opt-in unit, the CAIR  $NO_X$  allowances allocated by the permitting authority to the CAIR  $NO_X$  opt-in unit under paragraph (a)(2) of this section.

APPENDIX A TO SUBPART II OF PART 97—STATES WITH APPROVED STATE IMPLEMENTATION PLAN REVISIONS CONCERNING CAIR  $\mathrm{NO_X}$  OPT-IN UNITS

1. The following States have State Implementation Plan revisions under  $\S51.123(p)(3)$  of this chapter approved by the Administrator and establishing procedures providing for CAIR NO<sub>X</sub> opt-in units under subpart II of this part and allocation of CAIR NO<sub>X</sub> allowances to such units under  $\S97.188(b)$ :

Indiana

Michigan

North Carolina

Ohio

South Carolina

Tennessee

2. The following States have State Implementation Plan revisions under §51.123(p)(3) of this chapter approved by the Administrator and establishing procedures providing for CAIR NO<sub>X</sub> opt-in units under subpart II of this part and allocation of CAIR NO<sub>X</sub> allowances to such units under §97.188(c):

Indiana

Michigan

Ohio

North Carolina

South Carolina

Tennessee

[65 FR 2727, Jan. 18, 2000, as amended at 72 FR 46394, Aug. 20, 2007; 72 FR 56920, Oct. 5, 2007; 72 FR 57215, Oct. 9, 2007; 72 FR 59487, Oct. 22, 2007; 72 FR 72262, Dec. 20, 2007; 73 FR 6040, Feb. 1, 2008]

## Subpart AAA—CAIR SO<sub>2</sub> Trading Program General Provisions

## § 97.201 Purpose.

This subpart and subparts BBB through III set forth the general provisions and the designated representative, permitting, allowance, monitoring, and opt-in provisions for the Federal Clean Air Interstate Rule (CAIR) SO<sub>2</sub> Trading Program, under section 110 of the Clean Air Act and \$52.36 of this chapter, as a means of mitigating interstate transport of fine particulates and sulfur dioxide.

## § 97.202 Definitions.

The terms used in this subpart and subparts BBB through III shall have